

CENTRAL WEALTH SECURITIES INVESTMENT LIMITED

8 Sunning Road, Causeway Bay, Hong Kong

香港銅鑼灣新寧道8號中國太平大廈二期5樓全層 5/F, Phase II, China Taiping Tower,

電話 TEL 傳真 FAX 電郵 EMAIL 網址 WEB (852) 3958 4600 (852) 3958 4666 cs@cwsi.com.hk www.cwsi.com.hk

## 虛擬資產知識及風險披露聲明 Knowledge of Virtual Assets and Risk Disclosure Statement

帳戶資料 Account Information		
姓名	帳戶號碼	
Name	Account No.	

客戶對虛擬資產的認識 Knowledge of Virtual Assets (若客戶沒有勾選下述任何一個選項·將無法進行虛擬資產相關產品的交易)					
客戶曾接受有關虛擬資產或虛擬資產相關產品的培訓或出席有關課程					
Has the account holder(s) ever attended any trainings or courses on virtual assets or VA-related Products?		是 Yes		否 No	
客戶現時或過往的工作經驗與虛擬資產或虛擬資產相關產品有關					
Has any of the account holder(s)'s current or previous work experience been related to virtual assets or VA-related Products?		是 Yes		否 No	
客戶於過去三年是否曾買賣至少五次任何虛擬資產或虛擬資產相關產品					
的交易?		是 Yes		否 No	
Has the account holder(s) executed five or more transactions in virtual assets or VA-related Products within the past three years?					

## 客戶同意及聲明 Customer Consent and Declaration

· 客戶謹此聲明所有填寫於本表格的資料均屬真實,完全及正確。客戶知悉及明白以上的資料以評估客戶是否對虛擬資產或虛擬資產相關產品 有認識。

I/We hereby declare that all information filled in this form is true, correct and complete. I/We acknowledge and understand that the above information is for assessing the Client's knowledge of Virtual Assets or Virtual Assets related products.

客戶同意進行虛擬資產或虛擬資產相關產品買賣交易。本人/吾等聲明本人/吾等已接獲及閱讀關於《虛擬資產和虛擬資產相關產品的風險披露聲明》("風險披露聲明")並明白虛擬資產相關產品交易涉及高投資風險,而中達證券有限公司員工已向本人/吾等提出有關虛擬資產相關產品的警告。

I/We agree to trade Virtual Assets or Virtual Asset Related Products. I/We hereby declare that I/We have received and read the "Risk Disclosure Statement for Virtual Assets Related Products" ("Risk Disclosure Statement") and understand that the investment risks associated with virtual assets related products is HIGH. Moreover, the staff of Central Wealth Securities Investment Limited has cautioned me/us about investing in virtual assets related products.

- 在買賣相關產品前,本人/吾等會就該等產品進行自我風險評估或尋求獨立專業意見,並確認擁有足夠資本承擔有關風險及損失。
  Before trading in the virtual assets or VA-related Products, I/we shall make my/our own risk assessment or seek independent professional advice, and have sufficient net worth to be able to assume the risks and bear the relevant potential losses.
- 客戶現簽署確認已細閱、同意及完全明白有關中達證券投資有限公司之客戶協議書。
- I/We hereby confirm with my/our signature(s) that I/We have read, agree and fully understand the Client Agreement with Central Wealth Securities Investment Limited Company.

帳戶持有人簽署

Signature of Account Holder

簽署日期

Date of Signature

For Internal Use only		
Signature of AE:	Name and CE number of AE:	Date:
Input by:	Verified by Settlement department:	Checked/ Approved by RO:

S.V



## 中達證券投資有限公司

CENTRAL WEALTH SECURITIES INVESTMENT LIMITED

香港銅鑼灣新寧道8號中國太平大廈二期5樓全層 5/F, Phase II, China Taiping Tower, 8 Sunning Road, Causeway Bay, Hong Kong



(852) 3958 4600 (852) 3958 4666 cs@cwsi.com.hk www.cwsi.com.hk

## 虛擬資產和虛擬資產相關產品的風險披露聲明 Risk Disclosure Statement for Virtual Asset and Virtual Assets Related Products

- (a) 虛擬資產的風險極高,投資者應對有關產品保持審慎;
  Virtual assets are highly risky and investors should exercise caution in relation to these products;
- (b) 虛擬資產根據法律可能會或可能不會被視為"財產",而這項在法律上的不確定性或會影響客戶在該虛擬資產的權益的性質及可執行性;

A virtual asset may or may not be considered as "property" under the law, and such legal uncertainty may affect the nature and enforceability of a client's interest in such virtual asset;

- (c) 發行人所發出的要約文件或產品資料尚未受到任何監管機構審查;
  The offering documents or product information issued by the issuer have not been subject to scrutiny by any regulatory body;
- (d) 投資者賠償基金提供的保障不適用於涉及虛擬資產的交易(不論代幣的性質為何);
  The protection offered by the Investor Compensation Fund does not apply to transactions involving virtual assets (irrespective of the nature of the tokens);
- (e) 虛擬資產並非法定貨幣,即沒有獲得政府及有關當局的擔保;
  A virtual asset is not legal tender, i.e., it is not backed by the government and authorities;
- (f) 虛擬資產交易可能不可逆轉,故此因欺詐性或意外交易而造成的損失可能無法追回;
  Transactions in virtual assets may be irreversible, and, accordingly, losses due to fraudulent or accidental transactions may not be recoverable;
- (g) 虛擬資產的價值可能源自市場參與者持續地願意將法定貨幣轉換成為虛擬資產,這意味著如果某特定虛擬資產的 市場消失的話,該虛擬資產可能會完全及永久地失去價值。無法保證目前接受虛擬資產作為付款方法的人士將來 亦會繼續這樣做;

The value of a virtual asset may be derived from the continued willingness of market participants to exchange fiat currencies for a virtual asset, which means that the value of a particular virtual asset may be completely and permanently lost should the market for that virtual asset disappear. There is no assurance that a person who accepts a virtual asset as payment today will continue to accept such payment as usual in the future;

- (h) 由於虛擬資產相對於法定貨幣的價格存在波動性及不可預測性,故可能會在短時間內造成重大損失;
  The volatility and unpredictability of the price of a virtual asset relative to fiat currencies may result in significant losses over a short period of time;
- (i) 法例及監管方面的改變可能會對虛擬資產的使用、儲存、轉移、兌換及價值構成不利影響; Legislative and regulatory changes may adversely affect the use, storage, transfer, exchange and value of virtual assets;
- (j) 某些虛擬資產只有在獲得證監會持牌平台記錄及確認時(不一定是在客戶發出交易指示時),才可能會被視為已 予執行;
   Some virtual asset transactions may be deemed to be executed only when they are recorded and confirmed by an SFC-licensed platform, which may not necessarily be the time at which the client initiates the transaction;
- (k) 虛擬資產的性質令其承受著更高的欺詐或網絡攻擊風險;及
  The nature of virtual assets exposes them to an increased risk of fraud or cyber-attack; and
- (I) 虛擬資產的性質意味著證監會持牌平台所遭遇的技術困難可能會妨礙客戶就他們的虛擬資產進行交易。 The nature of virtual assets means that technological difficulties experienced by an SFC-licensed trading platform may prevent clients from dealing in their virtual assets.